



## COMPANY INFORMATION

### **1. The Company**

1.1. Goldenburg Group Limited (“the Company”) is authorised and regulated by the Cyprus Securities and Exchange Commission (“CySEC”) as a Cyprus Investment Firm (“CIF”) to offer the services and activities enlisted herein in this document, under the Investment Services and Activities and Regulated Markets Law of 2017 L. 87(I)/2017 (“the Law”).

1.2. The Company’s CIF license number is 242/14.

1.3. The Company is registered in Cyprus under the Companies Law, with registration number HE 328474.

1.4. The Company’s registered office is at 1 Siafi Street, Porto Bello BLD, 3042 Limassol, Cyprus.

1.5. The list of the approved domains owned by the Company can be found here: <https://www.cysec.gov.cy/en-GB/entities/investment-firms/cypriot/40646/>.

### **2. Investment Services**

2.1. Under its CIF License the Company may offer the following Investment Services:

- (a) Reception and transmission of orders in relation to one or more of the Financial Instruments.
- (b) Execution of orders on behalf of Clients.
- (c) Portfolio Management.
- (d) Investment Advice.

### **3. Ancillary Services**

3.1. Under its CIF License the Company may offer the following Ancillary Services:

- (a) Safekeeping and administration of Financial Instruments for the account of Clients, including custodianship and related services such as cash/collateral management and excluding maintaining securities accounts at the top tier level (“central maintenance service”), as referred to in point 2 of Section A of the Annex to Regulation (EU) No 909/2014.
- (b) Foreign exchange services where these services are connected to the provision of investment services.



- (c) Investment research and financial analysis or other forms of general recommendation relating to transactions in Financial Instruments

#### **4. Financial Instruments**

4.1. Under its CIF license the Company may offer the above investment services in relation to certain Financial Instruments, which have the meaning given to it in paragraph 2 of the Law:

- (a) Transferable Securities.
- (b) Money-market instruments.
- (c) Units in collective investment undertakings.
- (d) Options, futures, swaps, forward rate agreements and any other derivative contracts relating to securities, currencies, interest rates or yields, emission allowances or other derivatives instruments, financial indices or financial measures which may be settled physically or in cash.
- (e) Options, futures, swaps, forward rate agreements and any other derivative contracts relating to commodities that must be settled in cash or may be settled in cash at the option of one of the parties other than by reason of default or other termination event.
- (f) Options, futures, swaps, and any other derivative contract relating to commodities that can be physically settled provided that they are traded on a regulated market, an MTF, or an OTF, except for wholesale energy products traded on an OTF that must be physically settled.
- (g) Options, futures, swaps, forwards and any other derivative contracts relating to commodities, that can be physically settled not otherwise mentioned in the paragraph above and not being for commercial purposes, which have the characteristics of other derivative financial instruments.
- (h) Derivative instruments for the transfer of credit risk.
- (i) Financial contracts for differences.
- (j) Options, futures, swaps, forward rate agreements and any other derivative contracts relating to climatic variables, freight rates, emission allowances or inflation rates or other official economic statistics that must be settled in cash or may be settled in cash at the option of one of the parties (otherwise than by reason of a default or other termination event), as well as any other derivative contract relating to assets, rights, obligations, indices and measures not otherwise mentioned in this Part, which have the characteristics of other derivative financial instruments, having regard to whether, inter alia, they are traded on a regulated market, OTF or an MTF.

Date of the last review: September 2020